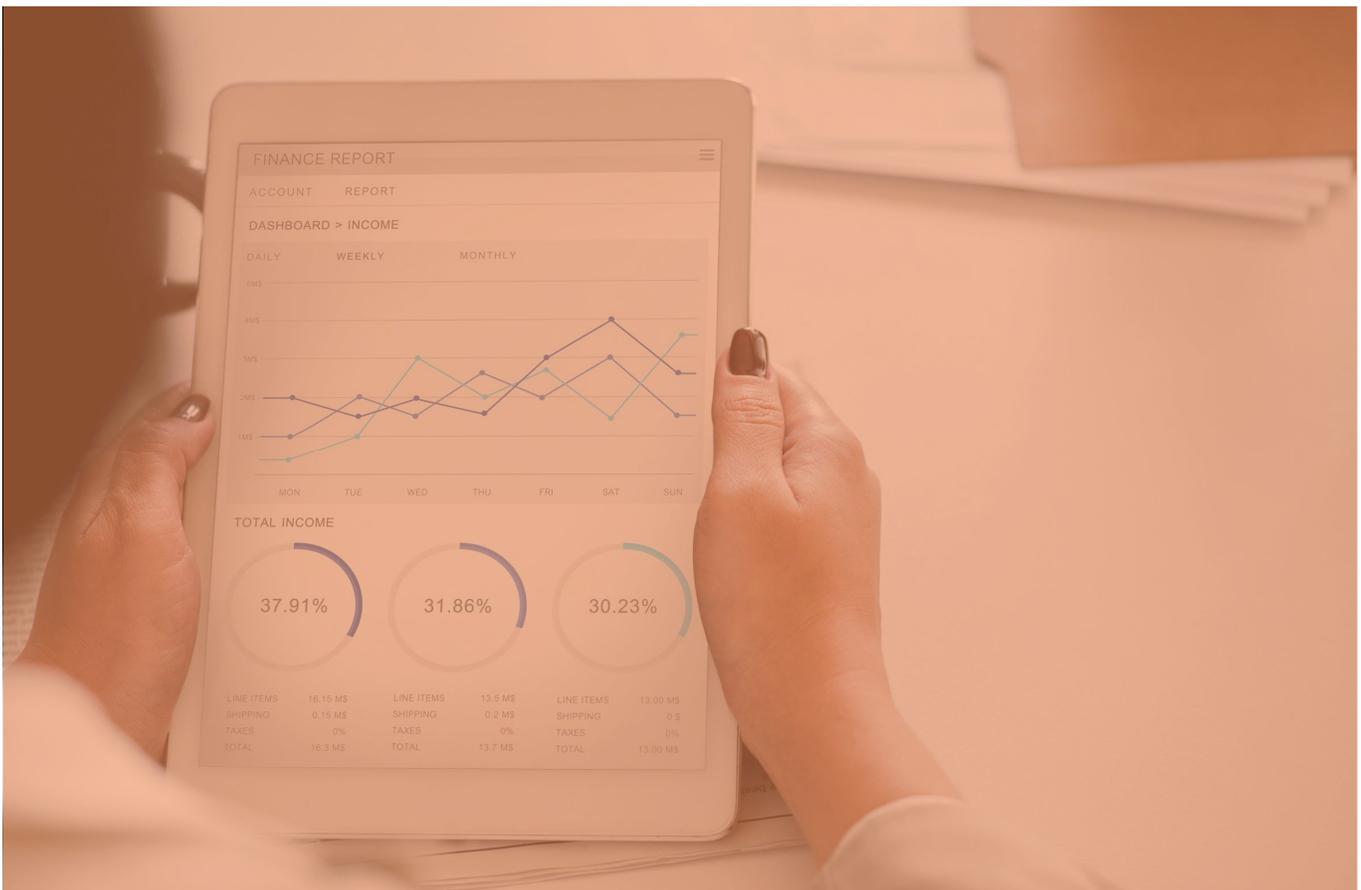


RiskSave Technologies

RISK AND REPORTING



PRIIPs Reporting Services

Since the start of 2018 producers and sellers of retail packaged products have been required to produce a Key Investor Document (“KID”), which replaces the previous KIID document. This change (which will come to the UCITS world in 2020) has proven to be a challenge for smaller fund managers, as well as for some larger players. The regulatory technical standard combines exacting legislative requirements with complex and prescriptive quantitative analysis. The result is a single document that creates a clear overview of the product for the consumer. However the process of distilling all of the data and analytics down to a single document is a significant undertaking and requires a specialist skillset. RiskSave are led by a team of certified risk managers whose experience covers compliance, risk and quantitative modelling and are best placed to meet this challenge. RiskSave are the only firm in London that guarantees your KID will be signed off by a certified FRM.



The RiskSave Advantage

RiskSave is one of the UK's leading networks for financial services innovation, we are a technology firm and an alumnus of both Level 39 and RocketSpace. The management team are recognised experts on financial and regulatory innovation speaking internationally on matters including digital asset management and RegTech, with highlights including engagements in Berlin, Tokyo, Seoul and the University of Oxford, as well as frequent conference keynotes in London and Edinburgh.

RiskSave Compliance is a leading European regulatory compliance consultancy and FinTech firm, with senior management consisting of finance professionals possessing decades of compliance experience at banks, asset managers and securities firms.

RiskSave Compliance is working with Scottish Enterprise to create employment and develop a hub for regulatory excellence within the Edinburgh FinTech ecosystem. Because Edinburgh offers a more competitive cost-structure, RiskSave Compliance are able to pass on those cost savings to its customers, providing a competitive pricing structure and service, without compromising the service.

For any asset manager, the world of compliance can be complex and distracting. As former portfolio managers ourselves we understand the pressure and provide a range of different options that best fit your business needs.



RiskSave are excellent. I've used a lot of consultants and advisors over the years but few, if any, combine commercial acumen, technical competence and a genuine desire to get the job done like RiskSave. They are passionate about technology and regulation and are prepared to put in the extra work to fully understand your business. A seamless experience from a great team and I would not hesitate to use them again.

— RAVI CHAUHAN, MANAGING DIRECTOR, LAECEUM



Working with RiskSave, you benefit from



An award-winning compliance platform



Our specialisms allow cost savings from an in-house solution



A proven solution covering all stages of PRIIPs production



Ongoing monitoring of Fund performance and costs

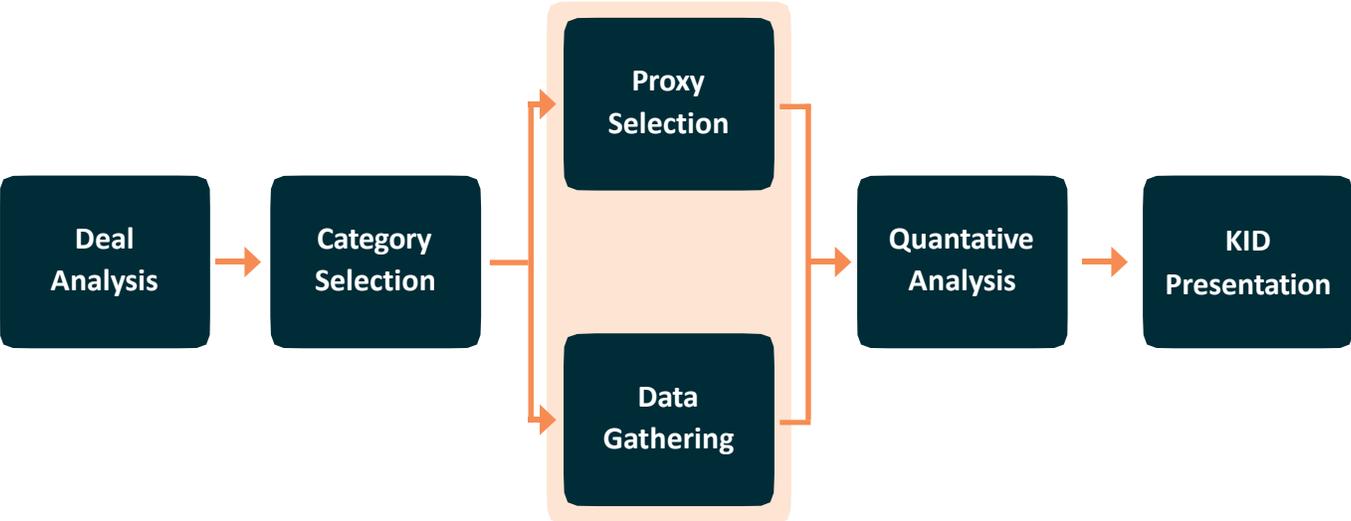


Rapid execution, we can typically turn complex projects around within a week



Monitoring of regulatory updates and expert guidance

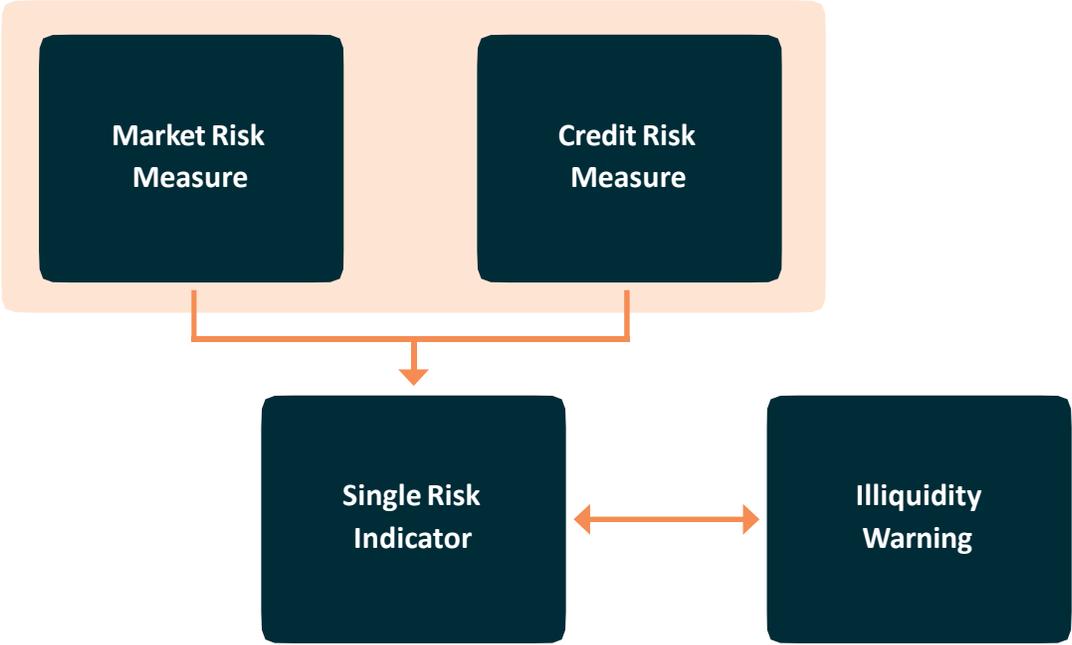
Our Methodology



Quantitative Analysis

Quantitative risk is a core strength of RiskSave whose founders possess degrees in economics, mathematics and financial mathematics from universities including the London School of Economics and Cambridge.

Depending on the categorisation of the PRIIP, the calculation of the Single Risk Indicator can require anything from statistical analysis of historical volatility to a full simulation of prospective returns and principal component analysis of yield curves, combined with a deep understanding of the embedded credit risk.



Thought Leadership and Client Communications



As part of its collaborative process, RiskSave provides training and access to thought leaders. Our aim is to be your first call for any guidance in the complex and ever changing regulatory environment.

The RiskSave Compliance newsletters provide updates on UK, European and Global issues, as well as changes in the application of existing regulations.

RiskSave also provide regular analysis of updates published by key regulators. These updates have been featured in the both national and trade publications:





RISKSARE TECHNOLOGIES
40 Islington High Street
c/o RocketSpace
London N1 8EQ

team@RiskSave.com

www.risksave.com